

Non-Employee Researcher Research Misconduct Penalty Schedule

1 Purpose

To establish the penalties for Research Misconduct, a Breach in Research and Failure to Implement the Code by Researchers who are not Employees or Students.

2 Scope

This schedule must be read in conjunction with the Research Code of Conduct: Management of Potential Breaches Procedure and is subordinate to it.

3 Schedule

3.1 Non-Employee Researcher penalties

Penalties for Researchers who are not Employees or Students may include, but are not limited to:

- suspension or expulsion from participation in University committees either permanently or for a specified period;
- non-approval, or withdrawal of approval to work on collaborative research projects with the University;
- if appropriate, reporting of misconduct to Researcher's employer;
- other, as deemed appropriate by the Vice-Chancellor (or nominee).

4 References

Nil.

5 Schedule Information

Accountable Officer	Deputy Vice-Chancellor (Research and Innovation)
Responsible Officer	Deputy Vice-Chancellor (Research and Innovation)
Policy Type	University Procedure

Policy Suite	Research Code of Conduct Policy
Approved Date	17/9/2015
Effective Date	17/9/2015
Review Date	23/4/2029
Relevant Legislation	
Policy Exceptions	Policy Exceptions Register
Related Policies	Animal Wellbeing and Ethics Policy
Related Procedures	Research Code of Conduct: Management of Potential Breaches Procedure
Related forms, publications and websites	
Definitions	<p>Terms defined in the Definitions Dictionary</p> <p>Breach in Research</p> <p>A breach is defined as a failure to meet the principles and responsibilities of the Code, and may refer to a single breach or multiple breaches. Breaches of the University Research Code of Conduct and the Australian Code for the Responsible Conduct of Research occur on a spectrum, from minor (less serious) to major (more serious).</p> <p>Employee</p> <p>A person employed by the University and whose conditions of employment are covered by the Enterprise Agreement and includes persons employed on a continuing, fixed term or casual basis. Employees also include senior Employees whose conditions of employment are covered by a written agreement or contract with the University.</p> <p>Failure to Implement the Code</p> <p>Failure to Implement the Code occurs when a Researcher who, individually, or as part of a team, fails to take responsibility for achieving the standards aspired to in the Research Code of Conduct Policy and/or in Part A of the Australian Code for the Responsible Conduct of Research.</p> <p>Research Conduct Complaint</p>

A Complaint about a potential breach of the University Research Code of Conduct and the Australian Code for the Responsible Conduct of Research occurs when a concern is raised or identified that one or more Researchers have conducted research that is not in accordance with the principles and responsibilities outlined in those documents. All Research Conduct Complaints will be dealt with in accordance with the University's Managing and Investigating Potential Breaches of the Research Code of Conduct Procedure.

[Research Misconduct](#)

Breaches of the University Research Code of Conduct and the Australian Code for the Responsible Conduct of Research occur on a spectrum, from minor (less serious) to major (more serious). Some major/serious breaches may be regarded as 'research misconduct'. The University uses the definition recommended in the National Health and Medical Research Council Guide to Managing and Investigating Potential Breaches of the Australian Code for the Responsible Conduct of Research and defines Research Misconduct as a serious breach of the Code which is also intentional or reckless or negligent. The use of the term Research Misconduct for serious breaches will be considered in the context of other institutional processes, Regardless of whether a Code investigation and an investigation done under an employment or student disciplinary agreement are separate or integrated, the University will take all reasonable steps to ensure that these do not conflict, or hinder the timely implementation of all corrective actions. Research misconduct does not include honest differences in judgement. Unintentional errors do not usually constitute research misconduct unless they result from behaviour that is reckless or negligent. Repeated or persistent breaches will likely constitute a serious breach, which will trigger consideration of Research Misconduct.

[Researcher](#)

Any person/s involved in Research Activities at, or on behalf of the University. This includes, but is not limited to Employees, Students, visiting scholars, research partners, research affiliates, holders of Honorary or Adjunct positions.

[Student](#)

A person who is enrolled in a UniSQ Upskill Course or who is admitted to an Award Program or Non-Award Program offered by the University and is: currently enrolled in one or more Courses or study units; or not currently enrolled but is on an approved Leave of Absence or whose admission has not been cancelled.

	Definitions that relate to this schedule only
Keywords	
Record No	15/2763PL